

# U.PLAN Purchase Request Form (Deposit Form)

## SECTION 1: OWNER INFORMATION

The Account Owner is the person whose name and Social Security Number will be used on the Tuition Certificate. The Account Owner has full control and authority over the Beneficiary assignment and must be related to the Beneficiary.

(All fields are required)

Account Number \_\_\_\_\_

Social Security Number \_\_\_\_\_ Date of Birth \_\_\_\_\_

Last Name \_\_\_\_\_ First Name \_\_\_\_\_ M \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

E-mail Address \_\_\_\_\_

Primary Phone Number \_\_\_\_\_ Other Phone Number \_\_\_\_\_

This is a Mobile Phone.

This is a Mobile Phone.

Yes, I want to receive occasional text messages from MEFA.<sup>1</sup>

<sup>1</sup>By marking this box, I understand that MEFA and its affiliates and agents may contact me when there are account and service-related items that require my attention, including account updates, product offers, and important reminders. Notifications will be sent via telephone and automated text message or auto dialer. Message and data rates may apply. This is an optional service and is not required to receive goods and services from MEFA. You may revoke this authorization using any of the following revocation methods: (1) in writing to the mailing address, MEFA U.Plan, P.O. Box 3044, Milwaukee, WI 53201-9148; (2) by email to collegeplanning@mefa.org; (3) by text message sent to the telephone number from which you received a text.

## SECTION 2: BENEFICIARY INFORMATION

The Beneficiary is the person designated by the Owner as the recipient of the Tuition Certificate benefits who is a "Qualifying Beneficiary" as described in the Enrollment Agreement. (All fields are required)

Social Security Number \_\_\_\_\_ Date of Birth \_\_\_\_\_

Last Name \_\_\_\_\_ First Name \_\_\_\_\_ M \_\_\_\_\_ Relationship \_\_\_\_\_

Complete Beneficiary address information if different from Owner address information.

Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

## SECTION 3: SUCCESSOR OWNER INFORMATION (OPTIONAL)

To name a Successor Account Owner of all Tuition Certificates you purchase or have previously purchased for the Beneficiary and related rights of the Account Owner under the Enrollment Agreement (collectively, the "Account"), you will need to provide the following information. Any Successor Account Owner you designate will become the Account Owner in the event of your death and will have the right to direct MEFA as to the application of Tuition Certificate proceeds and the right to change the Beneficiary. You may remove or change the Successor Account Owner at any time by making the change to your Account online at [mefa.org/uplan](http://mefa.org/uplan) or by contacting MEFA and filling out the applicable form. Please note: The person you designate must be at least 18 years old at the time of the Account Owner's death to be eligible to become the Successor Account Owner. In the event of reasonable doubt, respecting the effectiveness of the Successor Account Owner designation, MEFA reserves the right, in its sole discretion, to require a judicial determination before effectuating a transfer of the Account to the designated Successor Account Owner.

(All fields are required)

Social Security Number \_\_\_\_\_ Date of Birth \_\_\_\_\_

Last Name \_\_\_\_\_ First Name \_\_\_\_\_ M \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Primary Phone Number \_\_\_\_\_

E-mail Address \_\_\_\_\_

## SECTION 4: MATURITY YEAR ALLOCATIONS

You can save throughout the year, until July 14, 2023, for Tuition Certificates to be issued as of August 1, 2023. Please specify below how you would like your purchase(s) invested. Percentages must be whole numbers and equal 100%. We will allocate all 2023 purchases based on your instructions below.

- Maturity year correlates to the year(s) you expect the Beneficiary will be an undergraduate student.
- Please note that maturity years listed are the only years available for purchase in 2023.
- A minimum balance of \$300 as of July 14, 2023 is required to purchase Tuition Certificates to be issued as of August 1, 2023.

| Maturity Year(s) | 2023 Allocation Percentage | Maturity Year(s) | 2023 Allocation Percentage |
|------------------|----------------------------|------------------|----------------------------|
| 2028             | _____ %                    | 2036             | _____ %                    |
| 2029             | _____ %                    | 2037             | _____ %                    |
| 2030             | _____ %                    | 2038             | _____ %                    |
| 2031             | _____ %                    | 2039             | _____ %                    |
| 2032             | _____ %                    | 2040             | _____ %                    |
| 2033             | _____ %                    | 2041             | _____ %                    |
| 2034             | _____ %                    | 2042             | _____ %                    |
| 2035             | _____ %                    | 2043             | _____ %                    |

2023 Total Allocation Percentage = 100%

## SECTION 5: PAYMENT (select all that apply)

|   |   |
|---|---|
| <input type="checkbox"/> Personal check enclosed payable to the U. Plan \$ _____<br><br>Each purchase of Tuition Certificates will be allocated per your instructions in Section 4. Include the Beneficiary's name on the check. All checks must be in U.S. Dollars drawn on a domestic bank. We cannot accept payment in cash or money orders, postdated checks, or any conditional order or payment. To prevent check fraud, MEFA will not accept third-party checks, Treasury checks, credit card checks, traveler's checks, or starter checks for the purchase of certificates. | <input type="checkbox"/> Establish Automatic Investment Plan (see Section 6)<br><br>Each purchase of Tuition Certificates will be allocated per your instructions in Section 4. An Automatic Investment Plan provides a convenient way to systematically invest in the U. Plan. Select the frequency and amount to be withdrawn from your checking or savings account and it will be automatically transferred to your U. Plan account. |
|---|---|

## SECTION 6: AUTOMATIC INVESTMENT PLAN (AIP)

If you choose this option, funds will be automatically transferred from your bank account to your U. Plan account. Please see your U. Plan Program Description and Offering Statement for important details of the U. Plan, including requirements on Automatic Investment Plans and how funds in your U. Plan account will be invested before they are applied to the purchase of Tuition Certificates dated as of August 1, 2023. Please attach a voided check or savings deposits slip to Section 7 of this application. If the AIP cannot be made due to insufficient funds or stop payment, a \$25 fee will be assessed on your account. The AIP will then be terminated after two such consecutive occurrences.

Draw money for my AIP (check one):  Monthly  Quarterly  
*if no option is selected, the frequency will default to monthly*

Amount Per Withdrawal \_\_\_\_\_ AIP Start Month \_\_\_\_\_ AIP Start Day: 5th

Note: The minimum withdrawal is \$25 monthly or \$75 quarterly. The AIP will be purchased on the 5th of the month requested or first business day after.

## SECTION 7: BANK INSTRUCTIONS

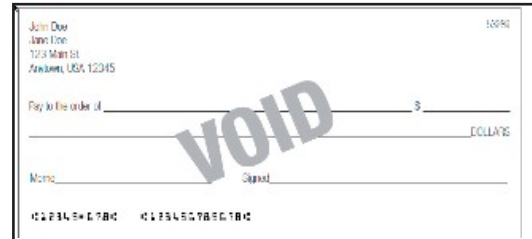
To add bank information to your U.Plan account, a voided bank check or preprinted savings deposit slip (not a counter deposit slip) is required. Please attach it to this section.

Bank Name \_\_\_\_\_

Bank Routing Number \_\_\_\_\_

Bank Account Number \_\_\_\_\_

Type  Savings  Checking



## SECTION 8: SIGNATURE/ ACKNOWLEDGEMENT

By signing the below I acknowledge that I have received and reviewed the Program Description and Offering Statement dated April 29, 2019, the Supplement dated as of August 1, 2022, and the Enrollment Agreement included therein. This Purchase Request form and all Tuition Certificates purchased are subject to the terms and conditions of the Enrollment Agreement. When I buy a Tuition Certificate, I am investing in a unique investment that includes ownership of a portion of a Commonwealth of Massachusetts bond with some features that are substantially different from ordinary Massachusetts bonds. There are some uncertainties about the federal tax treatment of the income and other benefits received through this investment. Although in bond counsel's opinion it is more likely than not that I will not have to pay any federal income taxes on the benefits received on or relating to my Tuition Certificate, it is possible that the IRS could decide that a portion of those benefits is taxable. The Tuition Certificates are designed to be held until maturity, and are much less transferable than ordinary Commonwealth bonds. If I need money invested in a Tuition Certificate back before its Maturity Date, I may be unable to sell my Tuition Certificate. Neither MEFA nor the Commonwealth is obligated to buy my Tuition Certificate before maturity. Under current law, the Tuition Certificate may reduce the amount of financial aid that my Qualifying Beneficiary can obtain. By signing this Purchase Request Form, I, the Account Owner, agree to be subject to the terms and conditions of the Enrollment Agreement. By accepting a Purchase Request Form, MEFA agrees to be subject to the terms and conditions of the Enrollment Agreement. I understand that if I change my mind about purchasing Tuition Certificates in 2023, I can withdraw amounts in my Owner Account by notifying MEFA, Attn: MEFA U.Plan, P.O. Box 3044, Milwaukee, WI 53201-9148, in writing no later than July 14, 2023.

Under penalty of perjury, I certify that (1) the Social Security or taxpayer identification number shown on this form is my correct taxpayer identification number, and (2) I am not subject to backup withholding as a result of either being exempt from backup withholding, not being notified by the IRS of a failure to report all interest or dividends, or notification by the IRS that I am no longer subject to backup withholding, (3) I am a U.S. person (including a U.S. resident alien), and (4) I am exempt from FATCA reporting.

*(Cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding due to a failure to report all interest and dividends.)*

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

X

Signature of Owner

\_\_\_\_\_

Date

## SECTION 9: NOTICE

The USA PATRIOT Act requires certain entities to verify, and record the following information for all registered owners or others who may be authorized to act on an account: full name, date of birth, Social Security number, and permanent street address. This information will be used to verify your identity. We may return your application if any of this information is missing, and we may request additional information from you for verification purposes. In the rare event that we are unable to verify your identity, MEFA reserves the right to close your account and return amounts in your account.

## SECTION 10: RETURN COMPLETED APPLICATIONS TO:

Standard Mail:  
MEFA U.Plan  
PO Box 3044  
Milwaukee, WI 53201-9148

Overnight Express Mail:  
MEFA U.Plan  
c/o U.S. Bank Global Fund Services  
615 E. Michigan St, FL3  
Milwaukee, WI 53202-5207

To view details of your U.Plan account online, visit [mefa.org/uplan](http://mefa.org/uplan).